



Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/481,126
Filing Date	January 11, 2000
First Named Inventor	Douglas R. Elliott
Group Art Unit	3628
Examiner Name	Harish T. Dass
Attorney Docket Number	TEQ 01117 PTUS

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☒ Fee Attached

☒ Amendment / Response

☒ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below):

Remarks

Copies of RCE and this transmittal form being submitted simultaneously to Board of Appeal and Interferences

RECEIVED

OCT 03 2003

GROUP 3600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Dawn C. Wolff, Reg. 48,695

Signature

Dawn C. Wolff

Date

September 30, 2003

CERTIFICATE OF MAILING EXPRESS MAIL EV184577844 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 30, 2003

Typed or printed name Angela Wilson

Signature

Angela Wilson

Date

September 30, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Douglas R. Elliott

For: Method for Obtaining and Allocating Investment Income Based on the Capitalization of
Intellectual Property

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

Express Mail Number: EV 184577844 US
Date of Deposit: September 30, 2003

RECEIVED
OCT 03 2003
GROUP 3600

I hereby certify that the following attached papers and fee:

Transmittal for Request for Continued Examination (RCE)
Request for Continued Examination (RCE)
Response to Office Action
Checks in the amount of \$385.00; and
Return postcard.

are being deposited with the United States Postal Service "Express Mail Post Office to
Addressee" to the MAILSTOP RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450

Angela Wilson

Typed or Printed Name

Angela Wilson
Signature